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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/405,981	09/27/1999	GUIDO M. SCHUSTER	99.595	1108
20306	7590	03/10/2004	EXAMINER	
MCDONNELL BOEHNEN HULBERT & BERGHOFF 300 SOUTH WACKER DRIVE SUITE 3200 CHICAGO, IL 60606			BROWN, CHRISTOPHER J	
			ART UNIT	PAPER NUMBER
			2134	
DATE MAILED: 03/10/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.	Applicant(s)	
09/405,981	SCHUSTER ET AL.	
Examiner	Art Unit	
Christopher J Brown	2134	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM  
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

1) Responsive to communication(s) filed on 12 November 2003.  
2a) This action is FINAL. 2b) This action is non-final.  
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

4) Claim(s) 1-30 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5) Claim(s) \_\_\_\_\_ is/are allowed.  
6) Claim(s) 1-22 and 24 is/are rejected.  
7) Claim(s) 23, 25-30 is/are objected to.  
8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

9) The specification is objected to by the Examiner.  
10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) All b) Some \* c) None of:  
1. Certified copies of the priority documents have been received.  
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

1) Notice of References Cited (PTO-892)  
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 22.  
4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.  
5) Notice of Informal Patent Application (PTO-152)  
6) Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments filed 11/12/03 have been fully considered but they are not persuasive. With respect to claims 1-6, and 14-22, the applicant argues that the combination of Berger, Oran and Bellovin lacks motivation.

Examiner cites that the communication devices communication in Bergler over an ISDN network, (Fig 1). Oran discloses that Voice over IP is used, (Col 1 line 23, Col 3 line 5). It would be obvious from knowledge generally available to one of ordinary skill in the art to use the Voice over IP protocol with an ISDN network.

Bergler discloses that a password may be used to prevent unauthorized access. Bellovin discloses that the password may be used as an encryption key. It would be obvious to one of ordinary skill in the art from general knowledge to use a password as an encryption key to secure communications. Therefore claims 1-6, and 14-22 are rejected.

As per claims 14-22 Bergler discloses that the PID's (PC, PDA) have two-way communication, (Col 3 line 59- Col 4 line 3). Motivation to include Oran and Bergler as stated above are the same, Oran discloses that Voice over IP is used, (the Col 1 line 23, Col 3 line 5). It would be obvious from knowledge generally available to one of ordinary skill in the art to use the Voice over IP protocol with an ISDN network.

Bergler discloses that a password may be used to prevent unauthorized access. Bellovin discloses that the password may be used as an encryption key. It would be obvious to

one of ordinary skill in the art from general knowledge to use a password as an encryption key to secure communications.

As per claims 7-10, 13, and 24, In response to applicant's argument that the examiner has combined an excessive number of references, reliance on a large number of references in a rejection does not, without more, weigh against the obviousness of the claimed invention. See *In re Gorman*, 933 F.2d 982, 18 USPQ2d 1885 (Fed. Cir. 1991).

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#### **PREVIOUS DETAILED ACTION**

##### ***Specification***

1. The disclosure is objected to because of the following informalities: Applicant uses internet addresses in the specification on page 10, line 20, page 11 lines 24, and 26. These addresses cannot be written in the specification. A typo "On" appears on page 39 line 17, the examiner interprets this as "One".

Appropriate correction is required.

##### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 1-6, and 14-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bergler US 5,646945 in view of Oran US 6,275,574 in view of Bellovin US 5,241,599.**

2. As per claim 1, Bergler discloses a data network to provide data connectivity for a plurality of data communications, (Col 1 line7). Bergler discloses a first and second data network telephone, (Col 3 lines 4-8). Bergler discloses a first portable information device, PID, (communications installation), registered to the first data network telephone, comprising a GUI, and has the capability to communicate to and from the first data network telephone, (Col 3 lines 8-20, 60-63). A second PID, (PC), is connected through a second data network telephone, and has the capability to communicate to and from the second data network telephone, (Col 3 lines 22-24). Bergler discloses that PID 1 can communicate to PID 2, (Col 4 lines 4-8).

Bergler does not disclose voice-over-data packets. Bergler does not disclose the PIDs communicating encryption information.

Oran discloses that the network the telephones are connected to uses voice-over-data packets (VoIP), (Col 1 lines 23, Col 3 line 5).

It would be obvious to one skilled in the art to modify Bergler's PID communication system with Oran's VoIP, because VoIP is can transmit and receive data much faster than a standard telephone system.

Bellovin discloses encryption data that the PID's may communicate to each other to secure a channel, (Col 3 lines 52-57, Col 5 lines 9-11, Col 14 lines 26-30).

It would be obvious to one skilled in the art to modify Bergler's PID communication system with Bellovin's encryption system because it enhances the security of data communications.

As per claim 2, Bergler does not disclose voice over data. Bergler does not disclose an encrypted channel where each user has a user identifier.

Oran discloses Voice over IP, (Col 1 line 23).

Bellovin discloses sending an ID with encryption data, (Col 5 line 30).

It would have been obvious to one skilled in the art to modify Bergler with the user ID of Bellovin, so that the communicating parties know who they are communicating with.

As per claim 3, Bergler does not disclose a device identifier.

Bellovin does not disclose a device identifier.

Oran discloses each telephone includes a device identifier, (phone number or addresses), (Col 1 line 60).

As per claim 4, Bergler does not disclose a device identifier.

Bellovin does not disclose a device identifier.

Oran discloses the device identifier include IP addresses, (Col 9 lines 27-33).

As per claim 5, Bergler does not disclose a device identifier.

Bellovin does not disclose a device identifier.

Oran discloses the device identifiers include Session Initiation Protocol addresses,(SAP, SDP, telephone number), (Col 6 lines 6-11).

As per claim 6, Bergler does not disclose a device identifier.

Bellovin does not disclose a device identifier.

Oran discloses the device identifiers include E.164 telephone numbers, (Col 4 line 18).

As per claim 14, Bergler discloses a plurality of PIDs coupled to a network, (Col 4 line 5). Bergler does not disclose encryption.

Oran discloses VoIP (Col 1 line 23).

Bellovin discloses transmitting a request from one PID to a second PID for encryption authentication data, (Fig1, Fig2, Col 5 line 25). Oran discloses PID #2 receiving the data, (Col 5 line 33). The data must be stored to be used. Oran discloses PID #1 sending encryption data associated with itself, to PID #2, (Col 6 line12). Oran discloses PID #2 receiving the data, (col 6 line15). The data must be stored to be used. Oran discloses PID #2 sending an acknowledgment to PID #1, (Col 6 line 18).

As per claim 15, Bergler discloses a plurality of PIDs coupled to a network, (Col 4 line 5). Bergler does not disclose encryption.

Bellovin discloses sending public key information to the first PID, (Col 5 line 39).

As per claim 16, Bergler discloses a plurality of PIDs coupled to a network, (Col 4 line 5). Bergler does not disclose encryption.

Bellovin discloses a confirmation message to acknowledge the receipt of the encryption data sent from PID #2, (Col 6 line 27).

As per claim 17, Bergler discloses a plurality of PIDs coupled to a network, (Col 4 line 5). Bergler does not disclose encryption.

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Bellovin discloses that the confirmation message includes a copy of the data associated with the second PID, to verify that the encryption data associated with PID #2 was received, (Col 6 line 27).

As per claim 18, Bergler discloses a plurality of PIDs coupled to a network, (Col 4 line 5). Bergler does not disclose encryption.

Bellovin discloses that the request includes encryption data from PID #1, (Col 5 line 27).

As per claim 19, Bergler discloses a network comprising a plurality of PIDs coupled to telephones within the network, (col 3 line 10). Bergler does not disclose sharing secrets.

Bellovin discloses transmitting, receiving, and confirming suggested shared secrets, (R), (Col 5 line 39, 44, Col 6 line 11).

As per claims 20, and 21 Bergler discloses a plurality of PIDs coupled to a network, (Col 4 line 5). Bergler does not disclose encryption.

Bellovin discloses the suggested shared secret is generated by a random number generator, or pseudo random number generator, (Col 5 line 34).

As per Claim 22 Oran discloses that the shared secret is from the group consisting of encryption/authentication data and encryption/decryption data, (Col 5 line 8).

**Claims 7-9, and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bergler US 5,646,945 in view of Oran US 6,275,574 in view of Bellovin US 5,241,599 and in view of Mihm Jr. US 5,249,230.**

3. As per claim 7, Bergler discloses opening a communications channel between two network telephones, (col 4 line 5). Bellovin discloses an encrypted channel, (Col 6 line 33).

Oran discloses VoIP, (Col 1 line 23).

Mihm Jr. discloses a database of user identifiers including a first sequence of alphanumeric elements that identify a user, and a second sequence of alphanumeric elements that identify a corresponding phone, (Col 5 line 62, Col 6 line 50, Fig 5).

It would have been obvious to modify Bergler's communication system, with Mihm Jr.'s database of identifiers, for subscription service authentication.

As per claim 8, Bergler does not disclose a communication protocol.

Bellovin does not disclose a communication protocol. Milhm Jr. does not disclose a communication protocol.

Oran discloses response and request (connection) communicated with SIP, (Col 6 lines 5-15).

As per claim 9, Bergler does not disclose a communication protocol.

Bellovin does not disclose a communication protocol

Oran discloses response and request (connection) communicated with H.323, (Col 1 line 28).

As per claim 11, and 12 Bergler discloses a PID network, (Col 3 line 6). Bergler does not disclose a callee identifier.

Oran discloses a callee id (phone number), which is sent in the message to the callee (Col 6 line 5).

As per claim 13, Bergler does not disclose a communication protocol.

Bellovin does not disclose a communication protocol. Milhm Jr. does not disclose a communication protocol.

Oran discloses response and request (connection) communicated with MGCP, (Col 1 line 31).

**Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bergler US 5,646945 in view of Oran US 6,275,574 in view of Bellovin US 5,241,599 in view of Mihm Jr. US 5,249,230 and in view of Cave US 6,404,746.**

4. As per claim 10, Bergler does not disclose a communication protocol.

Bellovin does not disclose a communication protocol. Milhm Jr. does not disclose a communication protocol.

Cave discloses communication with MEGACO, (Col 21 line 46).

It would have been obvious to one skilled in the art to modify Bergler's communication system, with Cave's MEGACO protocol, because the protocol expands the range of packet network options including voice conferencing and whiteboarding.

**Claim 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bergler US 5,646945 in view of Oran US 6,275,574 in view of Bellovin US 5,241,599 in view of Bruwer US 5,686,904.**

5. As per Claim 24, Bergler, does not disclose accepting a key based on predetermined length. Bruwer discloses a key accepted because it meets a predetermined length requirement, (Col 15 line 65).

It would have been obvious to modify Bergler's communication system with Bruwer's key requirement to enhance the systems security.

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*Allowable Subject Matter*

2. Claims 23, and 25-30 are objected to based on their dependence on rejected claims.

*Conclusion*

**4. THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the

advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher J Brown whose telephone number is 703-305-8023. The examiner can normally be reached on 8:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gregory Morse can be reached on 703-308-4789. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Christopher J. Brown



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